

29.10.2020  
CC/S&B/NJ/397

The Executive Director  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400001.

The Executive Director  
National Stock Exchange of India Ltd.  
Exchange Plaza,  
Plot No.C/1, G- Block,  
Bandra Kurla Complex, Bandra – East,  
Mumbai – 400051.

Dear Sir/Madam,

**SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**  
**“The Listing Regulations”): Regulation No 40(9) & 40(10)**  
**Certificate from PCS on Transfer/ Sub-division/ Consolidation/ Renewal/**  
**Exchange of Securities.**

In compliance with the provisions of regulation 40(9) and 40(10) of the Listing Regulations, we forward herewith the Certificate of Practicing Company Secretary for the half year ended 30.09.2020, for your information and record.

Thanking you,

Yours faithfully,  
For State Bank of India



Sham K  
Chief Manager (Compliance & Company Secretary)

Encl: as above



# **BHANDARI & ASSOCIATES**

Company Secretaries

901, Kamla Executive Park, Off. Andheri Kurla Road,  
J. B. Nagar, Andheri East. Mumbai- 400 059  
Tel: +91 22 4221 5300 Fax: +91 22 4221 5303  
Email: mumbai@anilashok.com

October 28, 2020

**The Compliance Officer,  
State Bank of India,**

Corporate Centre,  
State Bank Bhavan,  
M.C. Road,  
Mumbai 400021

## **Sub: Compliance Certificate under the Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 [“Listing Regulations”].**

We have examined the relevant books and records in respect of ‘**STATE BANK OF INDIA**’ (‘the Bank’) maintained by its Registrar & Share Transfer Agent (RTA) ‘**ALANKIT ASSIGNMENTS LIMITED**’ and produced before us, in respect of application for registration of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies issued by it for the purpose of issuing the certificate under sub-regulation 9 of Regulation 40 of Listing Regulations with the National Stock Exchange of India Limited & BSE Limited and based on our such examinations as well as information and explanations furnished to us which to the best of our knowledge and belief, were necessary for the purpose of the certification, we hereby certify that the RTA of the Bank has delivered for the period from **April 01, 2020 to September 30, 2020**;

- A) Share Certificate relating to the Share Transfer form received during the period from April 01, 2020 to September 30, 2020 as entered in the Memorandum of Transfers have been issued within the time permitted by SEBI vide Circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/59 dated April 13, 2020 and SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020.
- B) Share Certificate(s) in respect of requests for exchange of duplicate certificates have been issued within the time permitted by SEBI vide Circular No. SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020.

As informed to us, the Bank has not received any request for sub-division, consolidation, exchange or endorsement of calls/allotment monies during the half year ended on September 30, 2020.

For Bhandari & Associates  
Company Secretaries

*Manisha*

Manisha Maheshwari  
Partner  
ACS No. 30224  
C. P. No. 11031  
ICSI UDIN: A030224B001078095

